

AO 120 (Rev. 3/04)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
---	---

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been
filed in the U.S. District Court Central District, California on the following ☒ Patents or ☐ Trademarks:

DOCKET NO. CV 11-02862		U.S. DISTRICT COURT Central District, California
PLAINTIFF OCUGIENE, INC., a California Corporation,		DEFENDANT ADVANCED VISION RESEARCH, INC., a Massachusetts Corporation
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
7,211,070 B2	5/1/2007	OCUGIENE, INC.
7,513,893 B2	5/1/2007	OCUGIENE, INC.
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	<input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT "SEE ATTACHED FINAL ORDER ON CONSENT FOR PERMANENT INJUNCTION"

CLERK TERRY NAFISI	(BY) DEPUTY CLERK J. Lam	DATE 5/25/2011
-----------------------	-----------------------------	-------------------